



# KPMG Corporate Finance LLC

## Corporate finance – Deal announcement

September 1, 2016



has been acquired by



August 2016

## KPMG Corporate Finance LLC acted as exclusive financial advisor to Financial Guard LLC

### About the engagement

KPMG Corporate Finance LLC (KPMG CF) acted as exclusive financial advisor to Financial Guard LLC in its majority sale to Legg Mason, Inc.

Financial Guard is a leading online investment advisor providing professional and transparent investment advice through its technology platform which enables investors to create a unique profile, view investments in one place, assess portfolios with a simple grading system, and receive guidance on how to optimize to reduce overall costs. Financial Guard enables advisors to create a comprehensive picture of clients' financial positions and recommend potential solutions to meet their clients' investment objectives.

### Global coverage. Industry knowledge. Middle-Market focus.

The Corporate Finance practices of KPMG International's network of independent member firms (KPMG) have been ranked collectively a leading global M&A advisor according to Thomson Reuters SDC's 2015 global transaction volume league tables. KPMG firms operate in 155 countries with over 2,600 investment banking professionals who are able to meet the needs of clients across the globe.

### KPMG Corporate Finance LLC

Recently named Investment Bank of the Year by The M&A Advisor, KPMG Corporate Finance LLC provides a broad range of investment banking and advisory services to its domestic and international clients. Our professionals have the experience and depth of knowledge to advise clients on global mergers and acquisitions, sales and divestitures, buyouts, financings, debt restructurings, equity recapitalizations, infrastructure project finance, capital advisory, real estate, portfolio solutions, fairness opinions, and other advisory needs.

### Trust KPMG Corporate Finance LLC with your next transaction

[www.kpmgcorporaterefinance.com](http://www.kpmgcorporaterefinance.com)

### Transaction team

Alex Alden  
Head of Financial Technology  
& Managing Director  
San Francisco  
415.963.5511  
aalden@kpmg.com

Doug Robinson  
Managing Director  
Denver  
303.339.9087  
douglasrobinson@kpmg.com

Dan Jacobson  
Vice President  
San Francisco  
415.963.8012  
danieljacobson@kpmg.com

Dominick Robusto  
Associate  
Denver  
303.382.7474  
drobusto@kpmg.com

Corporate finance services, including Financing, Debt Advisory, and Valuation Services, are not performed by all KPMG member firms and are not offered by member firms in certain jurisdictions due to legal or regulatory constraints. The information contained herein is of a general nature and is not intended to address the circumstances of any particular individual or entity. Although we endeavor to provide accurate and timely information, there can be no guarantee that such information is accurate as of the date it is received or that it will continue to be accurate in the future. No one should act on such information without appropriate professional advice after a thorough examination of the particular situation.

The information contained in this communication does not constitute a recommendation, offer, or solicitation to buy, sell or hold any security of any issuer. Past performance does not guarantee future results.

© 2016 KPMG Corporate Finance LLC, a Delaware limited liability company. Member FINRA and SIPC. KPMG Corporate Finance LLC is a subsidiary of KPMG LLP, a Delaware limited liability partnership and the U.S. member firm of the KPMG network of independent member firms affiliated with KPMG International Cooperative ("KPMG International"), a Swiss entity. All rights reserved. NDPPS 603139

The KPMG name and logo are registered trademarks or trademarks of KPMG International.

